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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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of

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Application Number	09/764,068
Filing Date	1/19/2001
First Named Inventor	Jeff S. Eder
Art Unit	3694
Examiner Name	Stephanie Ziegler
Attorney Docket Number	AR - 19

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (# known)			
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		US- 5,377,116 - B1	12/27/1994	Wayne, et al.	All
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		US- 6,315,735 - B1	11/13/2001	Joeken, et al.	All
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		US- 7,542,932 - B1	6/2/2009	Chalermkraivuth et	All

**FOREIGN PATENT DOCUMENTS**

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		US- 5,852,811 - B1	12-22-1998	Atkins, Charles	All
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		US- 7,778,910 - B1	8-17-2010	Ballow, et al	All
		US- 7,716,108 - B1	5-11-2010	Chiappetta, et al	All
		US- 7,617,141 - B1	11-10-2009	Chiappetta, et al	All
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		US- 7,921,061 - B1	4-5-2011	Rangarajan, et al	All
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		US- 6,826,531 - B1	11-30-2004	Fukada; Toshiaki	All
		US- 6,219,649 - B1	4-17-2001	Jameson, Joel	All
		US- 6,625,577 - B1	9-23-2003	Jameson, Joel	All
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**NON PATENT LITERATURE DOCUMENTS**

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		SCHULZE, MARK; "Linear Programming for Optimization", 1998; pages 1 - 8; Perceptive Scientific Instruments, USA	
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		HECHING, ALIZA; and KING, ALAN; "Financial Engineering"; Operations research and management science handbook, pages 21-1 through 21-37; 2008, CRC Press, USA	
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		BRADLEY, STEPEHEN; HAX, ARNOLDO; MAGNANTI, THOMAS; "Applied Mathematical Programming", pages 227 - 271, 1977, Addison Wesley, USA	
		ZOMAYA, ALBERT; KAZMAN, RICK, "Simulated Annealing Techniques," Algorithms and theory of computation handbook, pages 33-1 to 33-18, 2010, CRC Press, USA	

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		CARE, ALGO; GARATTI, SIMONE et al, "Data-driven optimization through the scenario approach", pages 1 - 12, September 2010, University of Brescia, Italy	
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		TREYNOR, JACK and MAZUY, KAY, "Can Mutual Funds Outguess the Market?", Harvard Business Review, July - August 1996, pages 131 - 136, USA	

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